

# Compliance and Monitoring

**IEM is an industry leader that empowers clients to master the complexities of federal funding with unmatched expertise. For over 40 years, we have partnered with states, local and territorial governments, and tribes to manage federal funds. We excel at program delivery, compliance, and closeout.**

Our team of seasoned experts goes beyond the basics – implementing robust program policies, procedures, and tools to ensure full compliance with federal, state, and local requirements while safeguarding against fraud, waste, and abuse. With extensive experience navigating intricate funding landscapes, IEM is dedicated to helping clients unlock the full potential of every federal dollar, turning opportunities into success.

## Compliance and Monitoring

IEM's compliance, technical assistance, and monitoring experts provide oversight and monitoring for work or services performed or administered by contractors and/or sub-recipients. We work with clients to develop a compliance and monitoring program that uses industry best practices.

IEM's compliance and monitoring program includes the following components:

**Policy, procedures, and internal controls** – IEM reviews and develops policy, procedures, and internal controls to implement compliant processes at each step of client funding streams to effectively reduce the risk of clawbacks.

**Risk assessments** – Our team performs risk assessments for clients, contractors, vendors, and subrecipients to mitigate risks and develop action plans to address those found.

**Account reconciliation** – IEM reviews account reconciliations necessary to control and report on existing project accounts, balances, system interfaces, and other control balances.

**QA/QC review** – IEM conducts Quality Assurance /Quality Control (QA/QC) reviews over procedures performed to ensure quality and measure compliance with requirements.

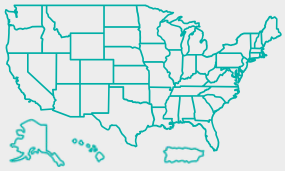
**Monitoring reviews** – Our team conducts subrecipient monitoring activities to ensure program compliance and identify corrective actions and/or technical assistance needed.

**Records and documentation** – IEM maintains records and documentation required to meet federal and regulatory compliance.

**$280B+**
Federal Funds Managed

**600+**
Governmental, private sector, and international clients served

**750+**
Employees nationwide, including former FEMA and HUD senior leaders and state and local officials



**50**
States and 5 territories supported

## Program Management & Administration

IEM uses innovative and customizable tools to provide oversight, QA/QC, data analytics, and reporting to monitor grant and program progress and inform effective strategies. IEM's project management and reporting methods help:

- Increase production speed;
- Overcome schedule and budget barriers; and
- Provide the right resources for each program and project step.

## Innovative Solutions

IEM is a leader in data management, automated process solutions, and customized off-the-shelf (COTS), low-code solutions for grants management. In grants management, it's critical that file processing is **quick**, **accurate**, and **adaptable** to accommodate policy changes.

Our configurable COTS solution, **GrantWise™**, is built on an award-winning platform designed by grant managers to streamline the end-to-end process for program managers, sub-recipients, and beneficiaries. Every process step is viewable based on user permissions, and tailored reporting and dashboarding features. GrantWise™ supports the efficient and effective business process and workflow management of grant administration and processing at each step, from initialization to closeout.



## Fraud, Waste, and Abuse

IEM's team identifies, investigates, and improves fraud detection outcomes for clients using innovative tools and technology coupled with traditional fraud investigation techniques. IEM's experts have real-world fraud investigation experience to assist clients in preventing fraud and identifying, prosecuting, and recovering losses.

IEM's team of Certified Fraud Examiners (CFEs), Certified Public Accountants (CPAs), Internal Auditors (IAs), and **former/retired law enforcement personnel** aids in assembling fraud packages ready for prosecutorial agencies at the federal, state, and local levels. We ensure clients are prepared to move forward with law enforcement actions (e.g., subpoenas, testimony) and bring perpetrators to justice.

Our investigators put together **law enforcement-ready packages** including:

- Elements of the crime, including supporting evidence of fraud;
- Recommendations of type(s) of criminal charge(s);
- Core data required (e.g., Office of Inspector General at the Department of Labor requirements); and
- Boilerplate language to be included in an indictment.

***IEM is committed to helping our clients and partners implement fraud program management solutions to prevent, detect, and recover future fraud activity.***

## Your Dedicated Partners

**Elevate your federally funded programs** with IEM's dynamic, cross-sector team of industry-leading experts. Armed with deep knowledge in compliance, technical assistance, and monitoring, we bring unparalleled precision to program management and oversight. Our innovative tools and proven strategies ensure seamless implementation, maximizing your program's impact and success. **With IEM, you don't just meet expectations—you exceed them. Let's drive results together.**




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